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FERC 0125

A Guide to Public Information at the Federal Energy Regulatory Commission

Associate Executive Directorate for
Information Resources Management

Information Services Division

Public Reference and Files
Maintenance Branch

Federal Energy Regulatory Commission
Washington, DC 20426

February 1991

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Washington, D.C. 20426

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PREFACE

INTRODUCTION TO THE FEDERAL ENERGY REGULATORY COMMISSION

The Federal Energy Regulatory Commission (FERC/Commission) is an independent agency which oversees America's natural gas industry, electric utilities, hydroelectric projects, and oil pipeline transportation system. The Commission's primary responsibility is to regulate such that Consumers have an adequate supply of natural gas and electricity at reasonable rates.

The Commission was created through the Department of Energy Organization Act on October 1, 1977. At that time FERC's predecessor, the Federal Power Commission (FPC) was abolished, and the new agency (FERC) inherited most of the FPC's agenda.

The Commission is composed of five members who are appointed by the President, with the advice and consent of the Senate. Commissioners serve five-year terms, and have an equal vote on regulatory matters. No more than three members may belong to the same political party. One member is designated by the President to serve as Chairman, and FERC's administrative head.

PUBLIC INFORMATION AT FERC

Under the Commission's public information rules (18 C.F.R. Part 388), most documents filed with the Commission or issued by the Commission are available for public inspection and photocopying. The Public Reference Room, located in Room 3104 at 941 North Capitol Street, NE., Washington, D.C. 20426, is the Commission's repository and reference center for these documents and records. The records available include those of FERC and FPC. Documents are available for review in the Public Reference Room, or can be copied on hardcopy, electronic or microfilm/microfiche media depending on the type of record.

The Commission also issues several publications which are available for purchase with check, money order, major credit cards or Government Printing Office Accounts in the Public Reference Room. Inquiries regarding available documents and/or publications should be addressed as follows:

Federal Energy Regulatory Commission
Public Reference and Files Maintenance Branch
941 North Capitol Street, NE., Room 3104
Washington, D.C. 20426
Telephone: (202) 208-1371 or (FTS) 8-268-1371

CONTACT POINTS IN FERC

Inquiries regarding the Commission's activities, other than official records and documents available through the Public Reference Room, are handled by the Office of External Affairs. This Office serves as FERC's primary source of information regarding energy regulatory matters for the general public, federal, state, and local governments, news media, regulated entities, and public and private interest groups. The Office of External Affairs maintains a comprehensive communications program to keep parties affected by, or interested in the Commission's activities informed. The following services are available through the Office of External Affairs.

CONGRESSIONAL AFFAIRS AND STATE LIAISON

This office serves as the Commission's liaison with both houses of Congress as well as state government officials. To contact the Division of Congressional Affairs and State Liaison call (202) 208-0870 or (FTS) 8-268-0870.

PRESS SERVICES

Directed by the Commission's Press Secretary, the Division of Press Services meets the information needs of the news media on the full range of energy related subjects and proceedings handled by FERC. Media representatives can contact this office on (202) 208-0680 or (FTS) 8-268-0680.

PUBLIC AND INTERGOVERNMENTAL AFFAIRS

The Division of Public and Intergovernmental Affairs responds to inquiries regarding the Commission's regulatory activities, actions, and procedures. This office is available to assist interested parties in identifying sources of energy-related information maintained by and available from FERC. Requests for information being submitted under the Freedom of Information Act should be addressed to this office. This Division can be contacted on (202) 208-1088 or (FTS) 8-268-1088. Federal, state and local government offices should call (202) 208-1088 or (FTS) 8-268-1088. Written inquiries should be addressed as follows:

Federal Energy Regulatory Commission
Division of Public and Intergovernmental Affairs
825 North Capitol Street, NE., Room 9200
Washington, D.C. 20426

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YOUR RESPONSIBILITIES WHEN HANDLING OUR RECORDS

The Commission's regulations pertaining to public information, together with provisions of the Freedom of Information Act, allow the public direct access to most of the Commission's records of regulatory activities. In many instances, the record placed in your hands for review or photocopying is the official file copy of that document and not a duplicate. As a result, strict guidelines have been developed and are enforced regarding the handling of these records.

To maintain the security and integrity of the Commission's official records and of the Public Reference Room's large collection of record duplicates and informational resources, members of the public are expected to observe these rules:

• No Commission documents are to be removed from the Public Reference Room. "Documents" refers to any files, report forms, reference documents, docket sheets or microfiche.

• Penalty for removing or destroying official documents from the Public Reference Room is cited in 18 U.S.C. § 641 and in 18 U.S.C. § 2071 as below:

> § 641. Public money, property or records
>
> Whoever embezzles, steals, purloins, or knowingly converts to his use or the use of another, or without authority, sells, conveys or disposes of any record, voucher, money, or thing of value of the United States or of any department or agency thereof, or any property made or being made under contract for the United States or any department of agency thereof; or
>
> Whoever receives, conceals, or retains the same with intent to convert it to his use or gain, knowing it to have been embezzled, stolen, purloined or converted ---
>
> Shall be fined not more than $10,000 or imprisoned not more than ten years, or both; but if the value of such property does not exceed

the sum of $100, he shall be fined not more than $1,000 or imprisoned not more than one year, or both.

The word "value" means face, par, or market value, or cost price, either wholesale or retail, whichever is greater.

(June 25, 1948, ch 645,62 Stat. 725.)

§ 2071. Concealment, removal, or mutilation generally

a) Whoever willfully and unlawfully conceals, removes, mutilates, obliterates, or destroys, or attempts to do so, or, with intent to do so takes and carries away any record, proceeding, map, book, paper, document, or other thing, filed or deposited with any clerk or officer of any court of the United States, or in any public office, or with any judicial or public officer of the United States, shall be fined not more than $2,000 or imprisoned not more than three years, or both.

(b) Whoever, having the custody of any such record, proceeding, map, book, document, paper or other thing, willfully and unlawfully conceals, removes, mutilates, obliterates, falsifies, or destroys the same, shall be fined not more than $2,000 or imprisoned not more than three years, or both; and shall forfeit his office and be disqualified from holding any office under the United States.

(June 25, 1948, ch. 645,62 Stat. 795.)

- Conduct your business in the Public Reference Room quietly and avoid disturbing others.

- Do not disassemble, deface or destroy official files, report forms or other documents. If a file cannot be photocopied because of the position of fasteners or for other reasons, ask the staff for assistance.

- All materials removed from the Public Reference Room's open shelves or file cabinets should be handled carefully and returned to their proper location after use. After examining the materials in the plastic containers, you must refile the contents in the containers and return the containers to the shelf.

- Food and beverages may not be consumed in the Public Reference Room. Smoking is not permitted.

- To ensure that official documents are not removed from the premises, briefcases or other containers brought into the Public Reference Room are subject to opening and inspection.

SUMMARY OF INFORMATION AVAILABLE IN THE PUBLIC REFERENCE ROOM

- Filings submitted to the Commission, which include applications, petitions and other pleadings requesting FERC action; responses, protests, motions, briefs, and Commission and staff correspondence relating to any proceeding.
- Commission orders, notices, opinions, decisions, letter orders and approved Commission minutes.
- Agendas for public Commission meetings and lists of actions taken at the meetings.
- Transcripts of hearings, hearing exhibits and prepared testimony.
- Administrative Law Judges' actions, orders and notices in connection with proceedings.
- Environmental assessments and impact statements prepared by staff pursuant to the National Environmental Policy Act.
- Annual, quarterly, monthly or other reports required to be filed by regulated companies.
- Legislative proposals, correspondence, and reports concerning FERC (following release by the appropriate committee or member of Congress).
- Filings and records in court proceedings to which the Commission is a party.
- Commission publications and staff reports.
- Subject index of Commission actions.
- Service Lists.

AUTOMATED AND TELECOMMUNICATIONS SYSTEMS

Records and Information Management Systems (RIMS)

RIMS is a microfilm storage and retrieval system which contains all of the Commission's active files. Access to the microfilm records is gained through use of a computerized index using terminals available in the Public Reference Room. Once identified in the RIMS index, a hard copy of the actual record may be obtained by completing an automated order form on the RIMS microcomputer, which is then electronically transmitted to the document maintenance staff for reproduction of the requested record(s). Generally, all documents, including docket sheets, processed since January 1, 1982, plus certain documents filed prior to that date, are now in RIMS.

Computer terminals are located in the Public Reference Room. Staff is available to assist in the identification and ordering of documents through RIMS. If you wish to order a document, the charge is made on a per page basis, payable to the Federal Energy Regulatory Commission in the form of a check or money order (cash cannot be accepted). Inquire at the Public Reference Room Desk.

FERC Automated System for Tariff Retrieval (FASTR)

The FERC Automated System for Tariff Retrieval is a microcomputer-based system for maintaining natural gas pipeline tariffs required to be filed on electronic media. Microcomputers equipped with the FASTR software and the tariff database are available for use by the public in the Public Reference Room. FASTR provides quick access to Volume 1 and 1-A tariffs (Volume 2 is not required on electronic media), with word search and print capability. The system can also copy selected tariffs to diskette format for input to FASTR on another PC. The FASTR software is available free of charge (except for copy fees). Inquire at the Public Reference Room Desk.

Documents Hotline

The Documents Hotline provides a recorded listing of Commission orders, notices, opinions, and news releases which are included in the 10:00 a.m. and 3:00 p.m. posting. The listing is recorded within 30 minutes after each posting. The public Hotline number is (202) 208-0555 or (FTS) 8-268-0555.

Facsimile (FAX)

In an effort to expedite service your information requests can be telefaxed to the Public Reference Room. The fax number is (202) 208-2320.

Commission Issuance Posting System (CIPS)

The Commission Issuance Posting System is a free electronic bulletin board service that provides timely access to many of FERC's documents, including the full text of daily issuances, News Releases, Commission Agendas, a daily filings list, a Formal Documents Issued List, and most Letter Orders. Information remains on the system for 30 days. Users may access the CIPS by using a personal computer with a modem. The telephone number for the CIPS is (202) 208-1397. For more information about the CIPS, write or call as follows:

Federal Energy Regulatory Commission
Public Reference and Files Maintenance Branch
941 North Capitol Street, NE., Room 3104
Washington, D.C. 20426
(202) 208-1371

LOCATING THE INFORMATION YOU NEED

Official file copies of all public records of the Commission are accessible through the Public Reference Room. Duplicate copies of those documents and reports in greatest demand are available on open shelves or in labeled file cabinets in the public area of the Public Reference Room. Other documents, historical records, indexes and publications also are available but will be retrieved for you by the staff.

Duplicate copies of applications and other documents recently filed with the Commission are stored in the Public Reference Room on open shelves in plastic containers. These are color-coded and labeled to identify the types of documents they contain:

Color	Contents	How Filed
Blue	Hydro Applications	Numerical Sequence
Orange	Amendments (Hydro)	Docket Number and Date Sequence
Red	Current Filings	Date Filed
Yellow	Initial Filings	Docket Prefix and Number in Sequence
Tan	CP Initial Filings (Natural Gas Pipelines)	Docket Number Sequence

Docketed Files

All of the documents in a particular case are identified by a common number known as a "docket number." Each docket designation is a unique series of letters and numbers which identifies the type of proceeding, the fiscal year in which it was initiated, and the chronological order of its initiation. For example:

Docket Number: RM87-3

RM - identifies a type of proceeding (RM is for a Rulemaking).

87 - identifies Fiscal Year it was filed. (Note: Fiscal Year is not identified for hydroelectric projects).

3 - identifies the 3rd Rulemaking begun that year.

A listing of letter prefixes which identify the type of proceeding is located on pages 15-19. Docketed items are also available from RIMS, the Records Maintenance Center, or the Federal Records Center depending upon age.

Forms

Since 1979, the Commission has been producing microfiche of certain annual report forms required from electric utilities, natural gas, and oil companies. The report forms available on microfiche in the Public Reference Room include:

Form 1	**1979 foward**
Form 1-F	**1984 foward**
Form 1-M	**1978 - 1979**
Form 2	**1979 forward**
Form 2-A	**1982 forward**
Form 12	**1978 - 1981**
Form 12-A	**1979 only**
Form 67	**1980 only**
FormEIA767	**1981 foward**
Form EIA-714	**1982 - 1984**
Form P	**1978 - 1981**
Form 6	**1982 forward**

Self-service reader/printer duplicating machines located in the photocopy center are equipped to read and duplicate microfiche.

Copies of these forms can be purchased in either hard copy or microfiche. Inquire at the Public Reference Room Desk.

Docket Sheets

The docket sheet is a listing of every activity filed pertaining to a particular docket. For example, the docket sheet for RM82-31 would list the name and date of filing for comments filed with the Commission by persons affected by the proposed rulemaking or otherwise interested in it. By first consulting the docket sheet for a particular proceeding, the public can identify the specific documents it wishes to examine. Then, by submitting a request to examine these specific documents or documents filed on a specific date, retrieval of the desired information is simplified and expedited.

The official docket sheets for active cases are available under the Key Indicator Case Tracking System on the terminals located in the Public Reference Room. Chronological listings (or indexes) for all docketed items (by docket number) dated January 1, 1982, and later, can be obtained through the Records and Information Management System.

Official docket sheets for inactive cases, and for items dated prior to January 1, 1982, are on microfiche and may be ordered at the Public Reference Room Desk.

Service Lists

The official service list for any proceeding contains the names and addresses of any person designated for service in the initial pleading, the name of counsel and interveners in accordance with Commission regulations. The official service list can be downloaded for the public from a FERC personal computer at no cost to the public.

This service is available in the Public Reference Room between the hours of 8:30 a.m. and 10:00 a.m., and 12:30 p.m. to 2:00 p.m. each workday.

While downloading of service lists will be free, PC diskettes (both 3 1/2 and 5 1/4) are available for purchase through the duplicating contractor.

Additionally, mail-in and telephone orders will be accepted for service lists through LaDorn Systems Incorporated. The address for mail-in orders is LaDorn Systems Incorporated, Room 3104, 941 North Capitol Street, NE., Washington, D.C. 20426. Telephone orders will be accepted on (202) 898-1151. There will be a shipping and handling charge for this service.

Commission Agenda and Draft Order

A copy of the Commission's agenda can be obtained in the Public Reference Room seven days prior to the scheduled Commission Meetings. The agenda consists of those matters to be considered by the Commission at the upcoming meeting.

At 10:00 a.m. on Commission meeting day, those orders scheduled for the regular agenda are forwarded to the Public Reference Room for reproduction. Copies are normally available to the public for copying within 30 minutes following receipt.

These orders are also available through a subscription service, operated by the Commission's photocopying contractor. Information regarding this service may be obtained at the Public Reference Room Desk.

In addition, once the Commission has acted upon the block of items contained in the consent agenda, two copies of those items approved without change are forwarded to the Public Reference Room.

All regular and consent agenda draft orders are normally available within an hour after the start of the Commission meeting. It should be noted that these are draft orders, which are subject to change. None of the draft documents become official until formally issued by the Secretary of the Commission.

Tariffs

Tariffs for the various industries regulated by the Commission are available through the Public Reference Room. Natural gas pipeline tariffs, oil pipeline tariffs and electric rate schedules which indicate the charges each company has established for the service provided can be accessed in the following manner: Electric, Gas (Vol. II) and Oil Tariffs can be obtained upon request in hard-copy within 3 hours if available; pick-up at 10:00 a.m. and 2:00 p.m., return at 11:30 a.m. and 3:30 p.m. Gas Tariff for Volume I and 1-A can be readily accessed from FERC Automated System for Tariff Retrieval; see page 4 of the Guide for Information.

Formal Documents Issued

Each business day - at 10:00 a.m. and 3:00 p.m. - the Commission issues formal documents such as proposed, interim, and final rules, initial decisions of Administrative Law Judges, opinions, notices, and a variety of orders and other issuances. All documents are publicly posted on the bulletin boards in the lobby of 825 North Capitol Street, NE. Occasionally, documents are issued at times other than the scheduled twice-daily postings. These are late postings.

The documents issued are retained in looseleaf binders in the Public Reference Room for examination or copying. In addition, interested parties may arrange with the Commission's photocopy contractor to purchase copies of documents. These documents are also available through CIPS (See page 5).

Hearing Transcripts

Transcripts are made of all public hearings of the Commission held before an Administrative Law Judge. These transcripts are available for reproduction through the Public Reference Room 10 days after the public hearing.

The public may arrange to purchase transcripts of public proceedings from the stenographic reporting service under contract with the Commission. The name and telephone number of the contractor can be obtained from the staff in Room 3104. Rates are established in the contract and vary according to location of the hearing and type of service requested.

Transcripts of non-public hearings are available for purchase only by hearing participants with written approval from the Commission. Inquiries regarding this procedure can be made at the Public Reference Room Desk.

Publications Catalog

The Commission's Division of Public and Intergovernmental Affairs annually compiles a comprehensive list of publications, staff reports and other informational materials or guides issued by the Federal Energy Regulatory Commission. The list is available free of charge in the Public Reference Room or by mail.

FERC's Public Reference Room stocks a number of these publications and reports for sale to the public. The others are available through the Government Printing Office. To determine the availability and source, call or write the Public Reference Room. Inquiries and orders should be sent to the following:

OTHER SOURCES OF RELATED INFORMATION

Federal Energy Guidelines

The Federal Energy Regulatory Commission currently has two commercially published looseleaf reporters available within the Federal Energy Guidelines system as follows:

1) FERC Statutes and Regulations
2) FERC Reports

These reporters, described below, are available to the public by subscription from:

Commerce Clearing House, Inc.
ATTN: Order Department
4025 West Peterson Avenue
Chicago, IL 60646
Tel: (312) 583-8500

1) *FERC Statues and Regulations* is a set of looseleaf volumes consisting of the Federal laws which give the Commission its authority and responsibilities, and the regulations the Commission issues to implement those laws. These volumes are updated biweekly.

2) *FERC Reports* is a set of looseleaf volumes containing the Commission's opinions, decisions, and selected orders that are decisional or precedent setting, but do not amend the regulations in Title 18 of the Code of Federal Regulations (CFR). The current volume is updated weekly, and then transferred to a numbered binder at the end of each calendar quarter. The volumes are divided into three sections, each containing documents issued by major components of the FERC - the five-member Commission, the Office Directors, and the Administrative Law Judges.

Issuances of the Federal Power Commission, spanning the period of January 1931 through September 1977, were published as *FPC Reports* which consists of 59 volumes. A limited number of *FPC Reports* are available to the public through the Public Reference Room.

Commerce Clearing House has available on microfiche FPC/FERC orders from 1935 to 1985. *FERC Reports* and *FPC Reports* can also be researched on the LEXIS or WESTLAW on-line legal retrieval services. All volumes can be examined or photocopied in the Public Reference Room.

On-line Research and Retrieval Services

LEXIS - An on-line legal research database service, contains documents published in FERC Reports from October 1977 to the present, and FPC Reports from April 1931 through September 1977.

For information concerning subscription service contact:

Mead Data Central
P. O. Box 933
Dayton, OH 45401
Tel: 1-800-543-6862

WESTLAW - An on-line legal research database service; contains documents published in FERC Reports from October 1977 to present, and FPC Reports from April 1931 through September 1977.

For information concerning subscription service contact:

WESTLAW Services, Inc.
P. O. Box 64779
St. Paul, MN 55164
Tel: 1-800-937-8529

HOW TO OBTAIN HARD COPIES

A photocopy center operated by a private firm under contract to the Commission is located adjacent to the Public Reference Room. The public may purchase paper copies, diskettes and microfiche of public files and documents produced on self-service photocopy equipment or by the contractor. A list of the photocopying fees may be obtained from the contractor's personnel in the photocopy center. The telephone number for the Contractor is (202) 898-1151.

Caution When Ordering

When placing an order for copies of any material, the requester must carefully identify the documents or portions of documents to be copied. Otherwise, the requester risks being billed for the reproduction of unwanted material. The Commission accepts no responsibility for resolving billing disputes with the contractor.

Current Formal Documents

The photocopy contractor produces and provides for the sale to the public all of the formal Commission documents issued each business day. Interested parties can arrange to purchase complete sets of daily documents, any individual document, or any subset or generic category of document. Arrangements to purchase current formal documents for pickup or mail delivery must be made directly with the contractor. Credit card or other billing arrangements are available.

Public Files and Other Records

To order copies of public files, report forms or other records of the Commission since 1982, the requester must use RIMS. To obtain Commission records prior to 1982, the requester must go to the Public Reference Room Desk and complete an order request form or

submit a written request. Phone orders are not accepted. Certain report forms and other records are available on diskette, magnetic tape and microfiche. Production of diskette, magnetic tape and microfiche may require additional time. Depending on the location of the requested documents, some orders may require a couple of days to several weeks to obtain.

Non-public Files or Records

Requests for documents from the Commission that are not publicly available or are not located in the Public Reference Room must be made in writing pursuant to the Freedom of Information Act (FOIA). Address your letter to the following: *Director, Division of Public and Intergovernmental Affairs, Federal Energy Regulatory Commission, Room 9200, 825 North Capitol Street, NE., Washington, D.C. 20426.* The envelope should be marked "**FREEDOM OF INFORMATION ACT REQUEST**" to expedite processing. For more information on FOIA requests, consult the Commission's regulations, 18 C.F.R. Part 388.

DOCKET DESIGNATION PREFIXES

Regulatory proceedings, including formal documents filed with the Commission or issued by it, are identified and filed by docket numbers. Docket numbers begin with an alphabetical prefix which serves to identify the type of proceeding. In the listing which follows, some prefixes are identified as "discontinued." Although no new proceedings are being assigned docket numbers using discontinued prefixes, the archival records of proceedings begun during the period those prefixes were in use are still identified by them. Because some of these cases remain active, some documents continue to be filed with or issued by the Commission bearing discontinued docket prefixes.

AP*	Advance Payments - gas
AR	Area Rate Proceedings - independent natural gas producers (discontinued)
CI	Certificates of Independent Natural Gas Producers
CP	Certificates of Natural Gas Pipeline Companies
CS	Certificates of Small Independent Natural Gas Producers
DA	Power - Site Land Determinations - electric companies (discontinued)
DCA*	Demand Charge Adjustment - natural gas companies
DO	Depreciation and related matters pertaining to oil pipelines (Filings after March 1989)

* *Denotes prefixes used to identify subcategories of pipeline rate*

E	Electric Rates, Securities and Mergers (discontiued)
EA*	Review of major Energy Actions proposed by the Secretary of Energy relating to oil pricing and allocation
EC	Applications of public utilities for authority to sell, lease, purchase, acquire or merge, and applications for determination of jurisdiction
EF	Federal Electric Rates
EL	Other formal proceedings related to electric power
EM	Filings made in connection with emergency natural gas sales, transportation, and ex change transactions under Order No. 499
EP	Power Site Reserve, Classification and Designation (right-of-way or lease of Federal lands)
ER	Electric Rate Filings
ES	Issuance of securities, assumption of liabilities and merger of facilities by electric utilities
FA	Financial Audits, used to identify contested accounting issues originating in the Office of the Chief Accountant under regulations pertaining to the Federal Power Act, Natural Gas Act, and Interstate Commerce Act

** Denotes prefixes used to identify subcategories of pipeline rate cases.*

FS Fourth Section Matters - refers to section 4, Part I of the Interstate Commerce Act which set-forth the provisions for long- and short-haul charges and competition with water routes for oil pipelines

G Gas Companies (discontinued)

GP General docket category for matters under the NGPA where the assignment of a docket number would be useful for purposes of noticing and tracking the proceeding

GRI* Gas Research Institute

GT General Tariff Changes

HB Headwater Benefits - followed by two digits designating river basins

ID Interlocking Directorates

IN Investigations

IPR* Incremental Pricing - NGPA

IR Implementation reports under 18 CFR Part 292, Subpart D, section 292,402, Order No. 69 - reports by state regulatory authority and non-regulated electric utility of congeneration and small power production. Filed only in 1981

IS Suspensions and Investigations into rates, fares, charges and practices of oil pipelines under section 15(7) of the Interstate Commerce Commission Act, 49 U.S.C. section 15(7)

* *Denotes prefixes used to identify subcategories of pipeline rate cases.*

JD Well Category Determination by Jurisdictional Agencies

LFUT* Louisiana First Use Tax

MG Marketing affiliate general filing (other than tariff filings) under FERC Order No. 497

MT Tariff filings under FERC Order No. 497

OR Oil Pipeline Rate Cases (a case formerly under t he jurisdiction of the Interstate Commerce Commission will also carry the former ICC Docket Number for convenience)

P Hydroelectric Power Project Licenses and Permits

PD Depreciation and related matters pertaining to oil pipelines (Filings prior to March 21, 1989)

PGA* Purchased Gas Adjustment - gas pipeline companies

PL General Policy

PR Petition for Rate Approval

PV Oil Pipeline Valuation Rates

QF Qualifying Status of Cogeneration and Small Power Production Facilities

R Rulemaking Notices and Orders (discontinued)

** Denotes prefixes used to identify subcategories of pipeline rate cases.*

RA Appeals from denials of requests for adjustments issued by the Economic Regulatory Administration of DOE

RDD* Research, Demonstration and Development

RE Request for extensions of time or exceptions under PURPA

RI Rates of Independent Natural Gas Producers

RM Rulemaking Notices and Orders (including any former Interstate Commerce Commission reference)

RO Appeals from Remedial Orders issued by the Economic Regulatory Administration of DOE

RP Rates of Natural Gas Pipeline Companies

SA Staff Adjustments under the interim regulations in Order No. 24. section 502(c) of the NGPA requires the FERC to adopt procedural rules under which any person may seek an adjustment of rules which are issued under the NGPA

SP Special Permission Applications or other permissible waivers of rules regarding tariffs or schedules under section 6(3) of the Interstate Commerce Act, 49 U.S.C.

ST Transportation under section 311(a), sales under section 311(b) and assignments under section 312 of the NGPA, including self-implementing sales and transportation under the FERC's fuel oil displacement program

* *Denotes prefixes used to identify subcategories of pipeline rate cases.*

TA Tracking Filings, certain rate adjustment filings by natural gas pipelines

TC Tariff Curtailments of Natural Gas Pipeline Companies

TF Tariff Filing

TM Miscellaneous tracking adjustment (i.e., GRI's, ACA's transportation and storage trackers, etc.) when not accompanied by the quarterly or annual PGA filings

TQ Quarterly rate filings and out-of-cycle PGA Filings tendered in this format

TT Transportation - gas

UL Unlicensed Projects

** Denotes prefixes used to identify subcategories of pipeline rate cases.*

REPORTS FILED BY REGULATED ENTITIES

In carrying out its regulatory functions, the Federal Energy Regulatory Commission requires that utilities, companies, or individuals subject to its regulations periodically file data or information relating to such matters as financial operations, energy production or supply, and compliance with applicable regulations. Usually these reports must adhere to a specific format designed by the Commission and are assigned a form number and title, such as Form 1, Annual Report for Major Electric Utilities, or Form 2, Annual Report for Major Natural Gas Companies. Many of the forms still in use originated with the former Federal Power Commission or, in the case of oil pipeline reports, with the Interstate Commerce Commission.

Beginning in the late 1970's and continuing into the 1980's the Commission has conducted a systematic review of its reporting requirements. As a result, numerous reporting requirements have been significantly reduced and many periodic report forms have been discontinued. As a result of the passage of the Department of Energy Organization Act in 1977, some data collection responsibilities were transferred to the U.S. Department of Energy and its Energy Information Administration (EIA). For further information on EIA forms, or to obtain copies of blank, energy-related, data collection forms, contact the *National Energy Information Center, Energy Information Administration, U.S. Department of Energy, 1000 Independence Avenue, SW., Washington, D.C. 20585. Telephone (202) 586-8800.*

Electric Reports

Form 1

Annual Report for Major Electric Utilities, Licensees and Others, filed by about 200 privately owned electric companies with annual sales or transmission service that exceeds one of the following: (1) one million megawatt hours of total annual sales, (2) 100 megawatt hours of annual sales for resale, (3) 500 megawatt hours of gross interchange out, or (4) 500 megawatt hours of wheeling for others (deliveries plus losses). The 112-page report contains general corporate information, financial statements and supporting schedules, and engineering statistics. Originated by FPC order issued August 6, 1937.

Revised by FERC, Order No. 472, issued June 5, 1987.

Note: The U. S. Army Corps of Engineers filed a modified Form 1, known as FPC-1002, for certain projects it operated. FPC-1002, was discontinued December 31, 1981.

Due: April 30

Form 1-F

Annual Report for Nonmajor Public Utilities and Licensees, filed by about 25 privately owned electric companies which have a three-year average annual sales of 10,000 megawatt hours or more but do not meet any criteria for major companies. The 18-page report contains corporate information, financial statements and supporting schedules, and engineering statistics. Originated by FPC Order Nos. 55 and 56, issued September 7, 1938.

Revised by FERC, Order No. 472, issued June 5, 1987.

Due: March 31

Form 1-M*

Annual Report - Municipal Electric Utilities, filed by about 730 municipally owned utilities with annual revenues of $250,000 or more. The 25-page report contains data similar to FERC Form No. 1. Originated by FPC Order No. 256, issued October 24, 1962.

Due: Last day of third month following close of calendar or fiscal year. Discontinued effective January 1, 1980, by FERC Order No. 53 issued October 23, 1979. (These data are now collected by the Energy Information Administration on Form EIA-412).

Form 3*

Typical Net Monthly Bills, filed by about 1,250 selected power suppliers throughout the U. S. The one-page annual report includes the average monthly retail cost of electricity for residential service in communitiesof 2,500 or more, for commercial and industrial service in communities having a population of at least 50,000, or, if none, the three largest communities served. Originated about 1937 by FPC Bureau of Finance and Statistics.

Due: About February 1. Discontinued by FERC Order No. 79, issued May 1, 1980. (These data are now collected by the Energy Information Administration on Form EIA-213).

Form 3-A*

All Electric Homes, filed annually by about 200 selected power suppliers throughout the U. S. One-page report contains the net annual retail cost of electricity used by all-electric homes in communities of 50,000 or more. Originated by FPC Bureau of Power in 1962.

Due: April 15. Discontinued by FERC Order No. 79, issued May 1, 1980.

* *Denotes discontinued report forms.*

Form 3-P*

Monthly Electric Bill Data, filed by about 200 selected utilities. The one-page report contains residential, commercial, and industrial electric bill data used by the U. S. Bureau of Labor Statistics in computing the Consumer and Wholesale Price Indexes. Originated about 1940 by FPC Bureau of Finance and Statistics.

Due: 25 days after end of report month. Discontinued by FERC Order No. 79, issued May 1, 1980. (These data are now collected by the Energy Information Administration on Form EIA-10L).

Form 4*

Monthly Power Plant Report, filed by about 830 electric utilities with generating capacity, and industrial establishments with generating capacities of 10,000 kilowatts or more. The one-page report contains data on installed capacity, net generation, monthly fuel consumption, and stocks of fuel. (Fuel data is not available for industrial plants). Originatedin 1939 by FPC Bureau of Finance and Statistics.

Due: 10 days after end of reporting month. Discontinued by FERC Order No. 201, issued January 7, 1982. (These data are now collected by the Energy Information Administration on Form EIA-759).

Form 5*

Monthly Statement of Electric Operating Revenues and Income, filed by about 140 privately owned utilities with annual revenues of $100,000,000 or more and selected publicly owned utilities. The one-page report contains information on operating revenues and income. Originated in 1936 by FPC Bureau of Finance and Statistics.

Due: 40 days after end of reporting month. Discontinued by FERC Order No. 265, issued November 22, 1982. (Effective January 1, 1983, these data are now collected by the Energy Information Administration on Form EIA-826).

** Denotes discontinued report forms.*

Form FPC 6* Initial Statement of Actual Legitimate Original Cost, one-time 75-page statement of the actual legitimate original cost of an FPC/FERC - licensed hydroelectric project filed by all owners of projects over 2,000 horsepower installed capacity. Originated by FPC Order No. 48, issued January 25, 1938.

Due: Six months after issuance of license. Discontinued by FERC Order No. 53, issued October 23, 1979.

Form 7* Statement of Actual Legitimate Original Cost of Construction, one-time 12-page statement filed by the owners of an FPC/FERC-licensed hydroelectric project after determination by the Commission of the actual legitimate original cost of construction of the project. Originated by FPC order, issued June 7, 1938.

Due: 90 days after Commission determination of actual legitimate original cost is accepted by or becomes binding upon the licensee. Discontinued by FERC Order No. 53, issued October 23, 1979.

Form 9* Licensed Project Annual Report, filed by all owners of FPC/FERC-licensed hydroelectric projects over 2,000 horsepower installed capacity. The 20-page report includes data on additions, retirements, adjustments to the project plant, provisions for depreciation and amortization, and the sale or use of energy produced by the project. Originated by FPC Order No. 252, issued August 7, 1962.

Due: April 30. Discontinued by FERC Order No. 53, issued October 23, 1979.

** Denotes discontinued report forms.*

Form 12*

Power System Statement, filed annually by about 625 investor and publicly owned systems which generate all or part of their electric power requirements and whose net energy for the year was over 20 million kilowatt-hours. The 20-page report contains information on electric power generation, energy exchanges, system capacity and load, and sales to ultimate consumers. Originated by FPC Order issued April 5, 1938.

Due: May 1. FERC Order No. 282, issued February 7, 1983, reduced reporting requirements and number of companies required to file, established September 1, 1983, filing deadline for 1981 data, and discontinued the form for subsequent years. FERC Order No. 418, issued May 8, 1985, replaced the filing of Form 12 with Form EIA-714.

Form 12-A*

Power System Statement, filed annually by about 2,100 power systems which generate from 5 to 20 million kilowatt-hours net energy per year, systems engaged primarily in sale for resale or sales to industrial users, and systems which obtain their entire energy requirements from other systems. The seven-page report contains system, generation, and power exchange information similar to Form 12. Originated by FPC Order No. 58, issued February 23, 1939.

Due: May 1. Discontinued by FERC Order No. 100, issued August 18, 1980.

** Denotes discontinued report forms.*

Form 12-B*

Industrial Electric Generating Capacity, filed annually by industrial plants. The five-page report contains detailed information on generating equipment, energy transfer, and interconnections with other power systems. Originated by FPC Order No. 141, issued December 19, 1947.

Due: 15 days after receipt of form from Commission. Discontinued by FERC Order No. 87, issued May 28, 1980.

Form 12-C*

Industrial Electric Generating Capacity, filed annually by about 660 industrial plants under 10,000 kilowatts. The two-page report contains information on net generation of energy and installed capacity. Originated by FPC Order No. 141, issued December 19, 1947.

Due: 15 days after receipt of form from Commission. Discontinued by FERC Order No. 87, issued May 28, 1980.

Form 12-D*

Power System Statement, filed by about 870 electric utilities with annual energy requirements under 5 million kilowatt-hours. The two-page annual report contains information on generation, energy exchanges, deliveries to ultimate consumers, and proposed changes in generating facilities. Originated by FPC Order No. 127, issued January 25, 1946.

Due: May 1. Discontinued by FERC Order No. 100, issued August 18, 1980.

** Denotes discontinued report*

Form 12-E*

Monthly Power Statement, filed by about 275 major electric utility systems. The two-page report includes system net energy and peak load data. Supplements Schedules 14 and 16 of Form 12. Originated by FPC Order No. 141, issued December 19, 1947.

Due: 15 days after end of reporting month. Superseded by Form 12-E-1, effective June 24, 1974.

Form 12-E-1*

Monthly Power Statement, filed by about 275 major electric utility systems. The two-page report includes information on energy exchanges, peak load, firm capacity, and generating unit and transmission line construction. Originated by FPC Order No. 510, issued June 24, 1974. Supersedes Form 12-E.

Due: 15 days after end of reporting month. Superseded by Form 12-E-2, effective January 14, 1976.

Form 12-E-2*

Supplemental Power Statement, filed by about 275 major electric utility systems. The one-page monthly report contains energy, capacity, and peak load data. The four-page semiannual report includes near- and long-term load projections and proposed generating unit and transmission line construction and service schedules. Originated by FPC Order No. 544, issued January 14, 1976. Supersedes Form 12-E-1.

Due: Monthly Report-15 days after end of reporting month. Semiannual Report-April 1 and October 1. Discontinued by FERC Order No. 87, issued May 28, 1980.

* *Denotes discontinued report forms*

Form 12-F

Power Line Construction Data, two-page report filed by about 550 electric utility systems with transmission lines 69 kilovolts and above in operation, under construction, authorized, or retired from service. Supplements Schedules 16 and 18 of Form 12. Originated by FPC Order No. 141, issued December 19, 1947.

Due: July 31. Discontinued by FERC Order No. 87, issued May 28, 1980.

Form 13*

Summary for National Electric Rate Book, filed periodically on request of FPC/FERC by about 1,500 electric utilities. One-page report collects data from selected residential, commercial, and industrial rate schedules for inclusion in the National Electric Rate Book. Originated about 1935 by FPC Bureau of Finance and Statistics.

Due: Three weeks after mailing of form by FPC or FERC. Discontinued effective January 1, 1980, by FERC Order No. 53, issued October 23, 1979.

Form 19*

Emergency Report Form, filed by about 215 privately-owned electric utilities with annual revenues in excess of $1,000,000. The three-page report outlines emergency actions taken to conserve petroleum and natural gas fuel resources. Originated by FPC Order No. 496, issued November 29, 1973.

Due: One-time filing, December 14, 1973.

Form 23*

Monthly Electric Utility Generation and Fuel Planning Report, filed by about 900 electric utilities which have

** Denotes discontinued report forms*

generating capacity. The 15-page report includes projected energy requirements and sources, fuel consumption, and fuel inventories. Originated by FPC Order No. 497, issued December 7, 1973.

Due: December 18, 1973 (for January 1947), December 31, 1973 (for February-March 1974). Thereafter, 75 days in advance of reporting month. Amended to 25 days by FPC Order No. 497-A, issued April 15, 1974. Discontinued by FPC Order No. 497-B, issued August 13, 1975.

Form 23-A*

Quarterly Electric Utility Generation and Fuel Planning Report, filed by about 900 electric utilities which have generating capacity. The 15-page report includes a 12-month running projection of utility loads, generation plans, and fuel requirements. Each quarterly submittal revises the data for three quarters and extends the projections by a quarter. Originated by FPC Order No. 497-A, issued April 5, 1974.

Due: April 15, 1974 (for May 1974-April 1975). Thereafter, first day of the month preceding the beginning of each calendar quarter. Discontinued by FPC Order No. 497-B, issued August 13, 1975.

Form 23-B*

Quarterly Electric Utility Generation and Fuel Planning Report, filed by about 870 electric utilities which have generating capacity. The 25-page report includes projected generation and fuel requirements, actual production and fuel consumption, and fuel inventories and deliveries. Each quarterly submittal revises the data for three quarters and extends the projections by a quarter. Supersedes FPC Forms 23 and 23-A. Originated by FPC Order No. 497-B, issued August 13, 1975.

** Denotes discontinued report forms*

Due: August 20, 1975 (for October 1975-September 1976). Thereafter, quarterly on February 25, May 25, August 25, and November 25. Reporting requirements suspended by FPC Order No. 497-C, issued October 20, 1976. Discontinued by FPC Order No. 53, issued October 23, 1979.

Form 36*

Emergency Fuel Convertibility Questionnaire, filed by about 735 fossil-fueled steam-electric plants with generating capacities of 25,000 kilowatts or more. The 15-page survey contains information on fuel usage by electric utilities and the emergency fuel convertibility of utility boilers, with particular emphasis on the reconvertibility of oil-fired boilers to the use of coal. Originated in 1972 by FPC Bureau of Power.

Due: One-time filing, February 15, 1973.

Form 67*

Steam-Electric Plant Air and Water Quality Control Data, filed annually by about 830 fossil-fueled steam-electric plants with a generating capacity of 25,000 kilowatts or more. The 50-page report includes data on the quality of fossil fuels used, cost of pollution control facilities, amounts and kinds of particulates emitted by plants, and heat emissions and other discharges into streams by both fossil- and nuclear-fueled plants. Originated by FPC Order No. 412, issued October 22, 1970.

Due: May 1 (first report filed for 1969, last filed for 1980). Discontinued by FERC Order No. 257, issued August 31, 1982. (Similar data are now collected jointly by FERC, Environmental Protection Agency, Department of Commerce, and Energy Information Administration on Form EIA-767).

* *Denotes discontinued report forms*

Form 80

Licensed Hydropower Development Recreation Report, filed quadrennially by hydroelectric project licensees. The three-page report contains information on recreational facilities and opportunities available to the public at projects licensed by the Commission. Originated by FPC Order No. 330, issued December 12, 1966.

Due: April 1, 1991, and every four years thereafter. (Filing of a report in 1987 was waived).

Form 82*

Retail Rate Level Change, one-page report describing changes in retail rates filed by electric utilities serving communities of 2,500 or more. Originated by FPC Order No. 398, issued April 3, 1970.

Due: Within 60 days of the effective date of a new rate schedule or suspension or change of an existing rate schedule. Discontinued by FERC Order No. 79, issued May 1, 1980.

Form 237-A*

Fuel Emergency Report (Coal as Principal Fuel), one-page report filed weekly by an electric utility facing a fuel emergency and weekly thereafter until the emergency ends. (A fuel emergency exists when supplies of fuel are at a level which threatens projects, projected operations or reliability of electric service). Originated by FPC Order No, 438, issued September 17, 1971.

Discontinued by FERC Order No. 53, issued October 23, 1979.

** Denotes discontinued report forms*

Form 237-B*

Fuel Emergency Report (Oil as Principal Fuel), similar to Form 237-A above.

Discontinued by FERC Order No. 53, issued October 23, 1979.

Form 423

Monthly Report of Cost and Quality of Fuels for Electric Plants, filed by about 230 electric power producers for about 750 plants. The one-page report contains data on cost and quality of fossil fuels delivered to generating plants which have a steam-electric generating capacity of 50 megawatts or greater. Originated by FPC Order No. 453, issued June 7, 1972. Revised by FERC Order No. 264, issued October 5, 1982, to reduce reporting requirements and exempt plants with capacities under 50 megawatts.

Due: 45 days after end of reporting month.

Form 561

Annual Report of Interlocking Positions, one-page report filed annually by all persons simultaneously holding interlocking positions (usually as corporate directors or executives) in public utilities and certain other entities, such as financial institutions. Report lists the positions held, any applicable docket numbers and date of FERC authorization to hold interlocking positions. Originated by FERC Order No. 140, issued April 9, 1981.

Form 566

Report of utility's 20 Largest Purchasers, one-page report filed annually which requires the listing by each public utility of its 20 largest purchasers of electricity (for purposes other than resale) during previous calendar year. The Report lists each utility's largest purchasers by name and principal business address. Originated by FERC Order No. 67, issued January 18, 1980.

** Denotes discontinued report forms*

Due: March 1 of the year following reporting year. First Form 566 filing was due by March 1, 1980.

Form 580

Fuel and Energy Purchase Practices, 18-page report filed biennially by electric utilities on fuel and energy purchases practices and policies. Originated by FERC Order No. IN79-6, issued April 26, 1979.

Due: July 1 of the year following the reporting period.

First FERC Form 580 obtained data for the years 1979-1981. FERC Form 580 has been filed to complete subsequent review requirements of section 205(f)(2) of the Federal Power Act. Latest version of FERC Form 580 will gather data for years 1988-1989 on changes in fuel purchase practices of jurisdictional utilities.

Form EIA-714

Annual Electric Power System Report, filed annually by approximately 305 electric utilities for systems that generate all or part of their load requirements; that own and/or operate operable generating capacity of more than 25 megawatts; and for which the sum of net energy for system and firm sales for resale exceeds 100,000 megawatt hours for the reporting year. FERC and EIA jointly collect these data. Originated by FERC Order No. 418, issued May 8, 1985, replacing Form 12.

Due: June 1 of the year following the reporting year. First Form EIA-714 filing was due by July 1, 1985 for 1982 and 1983 data, and by August 1, 1985 for 1984 data.

Form EIA-767

Steam-Electric Plant Operation and Design Report, filed annually by about 750 organic and nuclear-fueled steam-electric plants with a generating capacity of 100,000 kilowatts or more. Originated by FERC Order No. 257, issued August 31, 1982, replacing Form FPC 67.

Due: May 1. First Form EIA-767 filing was due September 15, 1982 for calendar year 1981.

Form EIA-867

Annual Non-utility Power Producer Report, filed by about 2,800 nonutility power producers (cogenerators), small power producers and other non-utility generators. Small power production facilities are limited to 80 megawatts in size.

Due: April 30 of the year following the reporting year. First Form EIA-867 filing due April 30, 1990, for calendar year 1989.

Other Reports-Electric

FPC-RO281* Conservation Reports

Report filed annually by an electric company outlining the measures taken to conserve and promote the efficient use of natural resources in the production and consumption of electric energy. Includes estimates of electric supply and consumption savings resulting from conservation measures. Originated by FPC Order No. 495, issued November 13, 1973.

Due: May 31 (First report - 1972). Discontinued by FERC Order No. 61, issued December 6, 1979.

FPC-R0309* Regional Reliability Council Reports

Filed annually by nine regional electric reliability councils in the continental United States. Non-formatted report details regional power supply plans, including projected loads, existing and projected generation, and proposed transmission lines over 230 kilovolts. Also included is information on communication systems and other coordinated operating practices. Detailed information is given for the upcoming 10-year period with more general information for the upcoming 11- to 20-year period. Originated by FPC Order No. 383, issued June 25, 1969.

Due: April 1. Discontinued effective January 1, 1980, by FERC Order No. 53, issued October 23, 1979. (Similar information is now collected by the Department of Energy on Form IR-411).

Index

Index of Filed Electric Rate Schedules. Provides a catalog of the types of agreements for electric service on file with the Commission. Updated periodically.

** Denotes discontinued report forms*

Natural Gas Pipeline Reports

Form 2

Annual Report of Major Natural Gas Companies, filed by about 45 inter- state natural gas pipeline companies with combined gas sold for resale and gas transported or stored for a fee exceeding 50 billion cubic feet. The 132-page report contains general corporate information, financial statements and supporting schedules, and engineering statistics. Originated by FPC Order No. 63, issued September 6, 1939.

FERC Order No. 493, issued April 5, 1988, requires the submission of information by electronic media effective November 30, 1988.

Due: April 30

Form 2A

Annual Report of Non-major Natural Gas Companies, filed by about 86 interstate natural gas pipeline companies with annual sales or volume transactions of more than 200 million cubic feet but less than 50 billion cubic feet. The 19-page report contains corporate information, financial statements and supporting schedules,and engineering statistics. Originated by FPC Order No. 90, issued February 7, 1942.

FERC Order No. 493, issued April 5, 1988, requires the submission of information by electronic media effective November 30, 1988.

Due: March 31

* *Denotes discontinued report forms*

Form 8

Underground Gas Storage Report, five-page report filed monthly by about 40 natural gas companies which operate natural gas storage fields in the United States. Originated by FPC Order No. 417, issued December 8, 1970.

FERC Order No. 493, issued April 5, 1988, requires the submission of information by electronic media effective November 10, 1988.

Due: 10th day of each month.

Form 11

Natural Gas Pipeline Company Monthly Statement, filed by about 35 natural gas pipeline companies which sold and/or transported, for a fee, more than 50 billion cubic feet of gas during the previous calendar year. The seven-page report contains data on revenues, income, operating and maintenance expenses, and gas supplies. Originated by FPC Order No. 131, issued April 17, 1946.

Due: 40 days after end of reporting month.

Form 14

Annual Report for Importers and Exporters of Natural Gas, filed by about 34 natural gas companies. The two-page report contains data on U. S. imports and exports of natural gas and liquefied natural gas, including quantity received or shipped, cost or receipts, U. S. Entry or exit point, foreign seller or foreign buyer, transporter, and Commission docket number authorizing transaction. Originated by FPC Order No. 471, issued February 2, 1973.

FERC Order No. 493, issued April 5, 1988, requires the submission of information by electronic media effective November 30, 1988.

Due: March 31

** Denotes discontinued report forms.*

Form 15

Annual Report of Gas Supply, 42-page report filed by about 95 interstate natural gas companies, contains information on gas supplies and projected gas reserves. Originated by FPC Order No. 279, issued March 31, 1964.

FERC Order No. 493, issued April 5, 1988, requires the submission of information by electronic media effective November 30, 1988.

Due: April 1

Form 15-A*

Annual Report of Gas Supply, filed by interstate natural gas companies whose year-end gas reserves are less than 50 billion cubic feet or which purchase their entire supply of gas from other companies. Originated by FPC Order No. 337, issued February 16, 1967.

Due: April 1. Discontinued by FPC Order No. 476, issued May 3, 1973.

Form 16

Report of Gas Supplies and Requirements, 24-page report filed biannually by about 58 interstate natural gas pipeline companies, contains data on actual and projected gas deliveries, curtailments and requirements of firm and interruptible resale customers. Originated by FPC Order No. 489, issued August 24, 1973.

FERC Order No. 493, issued April 5, 1988, requires the submission of information by electronic media effective November 30, 1988.

* *Denotes discontinued report forms.*

Form 17*

Monthly Report of Natural Gas Pipeline Curtailments, two-page report filed by about 30 interstate natural gas pipeline companies, contains data on actual natural gas curtailments to firm resale customers. Originated in November 1972 by FPC Bureau of Natural Gas.

Due: 15 days after end of reporting month. Discontinued by FERC Order No. 53, issued October 23, 1979.

Form 40*

Annual Report of Proved Domestic Gas Reserves, 10-page report filed by about 750 natural gas companies which produced for direct sale and/or resale in interstate commerce more than one billion cubic feet of gas during the previous year; or which owned more than 100 billion cubic feet of dry gas reserves at year end. (Only Schedule A, which summarizes the report, is available to the public). Originated by FPC Order No. 526, issued February 25, 1975.

Due: April 1. Discontinued by FERC Order No. 79, issued May 1, 1980. (Similar information is collected by the Energy Information Administration on Form EIA-23).

Form 50*

Alternative Fuel Demand Due to Natural Gas Deficiencies Report supersedes, and is similar to, FERC Form 69 (Form EIA-50) described below.

Due: June 30. Discontinued September 30, 1985.

Form 69*

Alternative Fuel Demand Due to Natural Gas Curtailments, filed by interstate pipeline companies which supply natural gas, including LNG and SNG, directly to

* *Denotes discontinued report forms.*

end-use customers. The 15-page report includes customers whose deliveries have been or will be curtailed, type of service provided, delivery point, worker days lost as a result of layoffs caused by curtailment, and data on deliveries, curtailments, and alternate fuels. Originated by FPC Order 531, issued June 25, 1975.

Due: Originally, each January 30, April 30, July 30, and October 30. (First report filed August 31, 1975, covered April 1, 1974-June 30, 1975). FPC Order No. 531-C changed filing requirement to two annually, May 31 and September 30, effective June 15, 1976. Discontinued by FERC Order No. 531-D, issued June 14, 1978. (Similar information was collected by the Energy Information Administration of Form EIA-50 through 1981. Beginning with 1982, the information was collected on Form-50).

Format 567

Annual Reports of System Flow Diagrams and Capacity, filed annually by over 100 interstate natural gas pipelines with system delivery capacity of over 100 million cubic feet per day. Diagrams reflect operating condition of a company's main transmission system during the previous 12 months, including pipeline diameter, pressure, and volume data. Originated by FPC Order No. 303, issued August 19, 1965, and designated System Flow Diagrams. Capacity requirement added by FERC Order 436, issued October 9, 1985. Pipelines providing transportation subject to the provisions of Order No. 436, must make an additional filing showing estimated peak day capacity of the pipeline system with assignments of the capacity to the various firm services it provides.

Due: June 1 for system flow diagram filings and May 1 for capacity report filings.

* *Denotes discontinued report forms.*

Format 592

Marketing Affiliates of Interstate Pipelines, filed by interstate natural gas pipeline companies that transport natural gas for others. The data is submitted on 9-track magnetic computer tape(s), on computer diskette(s), and also hard copy. Originated by FERC Order No. 497, issued June 1, 1988.

Due: 30 days after the month that any changes occur (transportation log). Effective July 14, 1988.

Electronic Filings

Order Nos. 493 (53 Fed. Reg. 15,025 (April 27, 1988)) and 493-A (53 Fed. Reg. 30,027 (Aug. 10, 1988)) require that certain natural gas forms and applications be filed on electronic media. The following filings are covered by these orders:

Effective November 30, 1988:

1. FERC Form 2 : Annual Report for Major Natural Gas Companies;

2. FERC Form 2A : Annual Report for Non-major Natural Gas Companies;

3. FERC Form 8 : Underground Gas Storage Report (Monthly);

4. FERC Form 11 : Natural Gas Pipeline Company Monthly Report;

5. FERC Form 14 : Annual Report for Importers and Exporters of Gas;

6. FERC Form 15 : Annual Report of Gas Supply for Certificated Pipelines;

7. FERC Form 16 : Report of Gas Supply and Requirements.

Effective October 31, 1989:

8. Rate change filings under section 154.63;

9. Restatement of base tariff rates under section 154.303(e);

10. All revised Volumes 1 and 1-A tariff sheets filed under Parts 154, 157 and 284;

11. Pipeline certificate and abandonment applications filed under subparts A, E and F of Part 157;

12. Blanket certificate applications filed under subpart G of Part 284.

These filings are submitted to the Commission on diskette, magnetic tape or cartridge, in ASCII, in accordance with record formats issued by the Commission. Requests for the record formats, related print software, or copies of these filings should be submitted to the Public Reference Room.

FERC Order Nos. 497 (53 Fed. Reg. 22,139 (June 14, 1988)) and 497-A (54 Fed. Reg. 52,781 (Dec. 22, 1989)) require interstate natural gas pipelines that transport gas for others to report on transportation involving marketing affiliates. The reports are submitted on magnetic tape, cartridge or diskette, and on hard copy, effective June 14, 1988.

Other Reports - Gas (Pipelines)

Conservation Reports*

Conservation Reports, filed semiannually by all major natural gas pipeline companies. Includes actions taken by the pipeline and its customers to conserve natural gas, quantities estimated to be conserved during the year following the report, and estimated volumes actually conserved during the year preceding the report. Originated by FPC Order No. 498, issued December 21, 1973.

Due: January 1 and July 1. Discontinued by FPC order issued July 27, 1976.

Contracts

Contracts of Natural Gas Industrial Customers, filed by interstate gas pipeline companies for main line direct industrial gas sales involving 50 million or more cubic feet of gas per year. The contracts are filed after service is authorized by the Commission and are updated as necessary. Required by 18 CFR Part 155.

Customers

Annual List of Direct Industrial Customers, filed by interstate natural gas pipeline companies. Includes all main line direct industrial customers which purchased between three million and 50 million cubic feet of gas during the preceding year. The list becomes part of a pipeline's industrial contracts folder. Required by 18 CFR Part 155.

Due: May 1

* *Denotes discontinued report forms.*

Natural Gas Policy Act Reports

Form 121

Application for Determination of Maximum Lawful Price, filed by natural gas producers for price classification of wells under sections 102, 103, 107 or 108, of the NGPA. Filed with the applicable jurisdictional agency (State Corporation Commission or Public Utilities Commission) or the U. S. Geological Survey if the well is on federal land. After determination by the jurisdictional agency, the application is forwarded to FERC for review. The two-page application includes contract date, purchaser, name and location of well, estimated annual production, contract price, and maximum lawful rate as of filing date. Originated by FERC Interim Rule in RM79-3, issued December 1, 1978. Revised effective January 1, 1983 by FERC Order No. 266, issued November 22, 1982.

Form 122*

First Sales of Natural Gas Under section 109, filed annually by natural gas producers for first sales of gas which initially qualify for the maximum lawful price under section 109 (categories of gas not covered by sections 102-108 of the NGPA). The three-page report includes contract date, purchaser, highest contract price during reporting period, average Btu content, and sales volume. Originated by FERC order issued March 23, 1979, in RM79-30.

Due: June 1, 1979 (first report). Thereafter, April 1. Suspended indefinitely by order issued March 14, 1980. Discontinued by FERC Order No. 272, issued December 29, 1982.

* *Denotes discontinued report forms.*

Form 123*

First Sales of Natural Gas Under section 105, filed by natural gas producers for first sales of gas to non-pipeline purchasers under intrastate contracts which existed on November 8, 1978. The three-page report includes contract price on November 9, 1978, highest contract price during reporting period, term of contract, average Btu content, and volume delivered. Originated by FERC order issued March 23, 1979, in RM79-30.

Due: June 1, 1979 (first report). Thereafter, April 1. Suspended indefinitely by order issued March 14, 1980. Discontinued by FERC Order No. 272, issued December 29, 1982.

Form 124*

First Sales of Natural Gas Under section 106(b), filed by natural gas producers for first sales of gas under intrastate rollover contracts (contracts entered into on or after November 9, 1978, for the first sale of gas previously subject to an existing intrastate contract which expired at the end of a fixed term). The two-page report includes date of expired contract, last price under expired contract, new (rollover) contract date, initial price, average Btu content, and sales volume. Originated by FERC order issued March 23, 1979, in RM79-30.

Due: June 1, 1979 (first report). Thereafter, April 1. Suspended indefinitely by order issued March 14, 1980. Discontinued by FERC Order No. 272, issued December 29, 1982.

Form 549-ST

Self-Implementing Transportation Reports, filed by 294 (pipeline and distribution) companies undertaking a transportation transaction under 18 CFR Part 284. Six-page report contains information on applicant's existing operations and on transportation data, including estimated quantities, source and delivery locations, parties to the transactions, and commencement and termination dates for the transportation service.

* *Denotes discontinued report forms.*

Natural Gas
Producer Reports

Form 45*

New Non-jurisdictional Sales of Natural Gas, filed by natural gas producers with annual interstate gas sales in excess of 1 billion cubicfeet. Report includes all new sales of gas in the intrastate market. Originated by FPC Order No. 521, issued January 9, 1975.

Due: 20 days after end of calendar quarter (for producers with annual interstate sales between 1 billion cubic feet and 10 billion cubic feet). Fifteen days after end of report month (for producers with annual interstate sales in excess of 10 billion cubic feet). Discontinued by FERC Order No. 53, issued October 23, 1979.

Form 64*

Producer Expenditures, Exploration and Development Activity, Production, Reserve Additions and Revenues, filed annually by natural gas companies producing in excess of 250,000 thousand cubic feet of gas per year. The 15-page report summarizes total expenditures for exploration, development, and production of oil and gas by type of reservoir, exploration, and non-associated gas reserve data. Originated by FPC Order No. 543, issued December 10, 1975.

Due: June 10, 1976 (for 1970-1974 and 1975). Thereafter, March 31. (Productivity data for the U. S., excluding Alaska and Hawaii, filed only for 1965-1973. For Alaska, the complete report including productivity data filed for 1974 and each subsequent year). Discontinued by FERC Order No. 53, issued October 23, 1979.

** Denotes discontinued report forms.*

Form 108*

Rate Schedule Analysis on a Continuing Current Basis, filed by independent natural gas producers each time a new rate schedule is submitted or an amendment is proposed to an existing schedule. Includes data on the amount of gas flowing in interstate commerce and a detailed breakdown of the provisions of all rate schedules on file with the Commission. Originated by FPC Order No. 556, issued November 22, 1976. Superseded Forms 280, 301-A and 301-B, effective January 1, 1977. Reporting requirements of two Form 108 schedules, 501 (natural gas sales summary) and 505 (rate schedule Sales), suspended by order issued May 5, 1980.

Form 275*

Contract Summary for Certificates, one-page summary of each natural gas sales or transportation contract filed by a producer as part of a certificate application. Originated by FPC Order No. 217, issued November 20, 1959. Superseded January 1, 1977, by Form 108, Schedules 502, 503 and portions of 507.

Form 276

Contract Summary to Accompany Rate Schedule Filed by an Assignee as Successor in Interest, one-page summary filed by a producer for each natural gas sales or transportation contract involved in the assignment of properties or other rights covered by a rate schedule previously authorized by the Commission. Originated by FPC Order No. 278, issued March 18, 1964. (Required by 18 CFR Part 250.8 as of FERC-532 application).

Form 277*

Contract Summary for Abandonment Applications, one-page summary filed by a producer for each natural gas sales or transportation contract involved in an application

** Denotes discontinued report forms.*

for abandonment of facilities or discontinuance of service. Originated by FPC Order No. 278, issued March 18, 1964. Form discontinued and contract summary now filed as part of FERC-530, Gas Producer Certificate: Abandonment/Termination.

Form 280*

Notice of Independent Producer Rate Change Filing, filed by a producer, with annual natural gas sales up to five billion cubic feet for the preceding five years, proposing a contractual change in rates or charges. The one-page report includes type of increase, present and proposed rates, and estimated sales volume. Originated by FPC Order No. 174-B, issued December 17, 1954.

Due: 30 days before proposed effective date. Superseded January 1, 1977, by Form 108, Schedule 507.

Form 281

Notice of Cancellation or Termination of Rate Schedule, one-page report filed by a natural gas producer to notify the Commission of the proposed cancellation or termination of a rate schedule which will not be replaced. Originated by FPC Order No. 278, issued March 18, 1964. (Required by 18 CFR Part 250.9 and submitted as part of FERC-532 application).

Due: 30 days before proposed effective date.

Form 301*

Statement of Sales and Revenues of Independent Producers, five-page annual report by independent natural gas producers summarizing natural gas sales under rate schedules filed with the FPC. Originated by FPC Order No. 187, issued May 11, 1956. Additional filings required by FPC Order Nos. 198 and 214.

* *Denotes discontinued report forms.*

Due: June 30, 1956 (1955 Report), December 31, 1957 (1956 Report), August 31, 1959 (1957 Report), October 31, 1959 (1958 Report). Superseded by Form 301-A.

Form 301-A*

Statement of Sal and Revenues of Independent Producers, one-page annual report by independent natural gas producers summarizing natural gas sales under rate schedules filed with the FPC. Originated by FPC Order No. 231, issued December 21, 1960

Due: March 31, 1961 (for 1959). Thereafter, last day of the sixth-month period after end of the calendar or fiscal reporting year. Superseded by Form 108, January 1, 1977.

Form 301-B*

Statement of Sales and Revenues of Independent Producers, two-page annual report by independent natural gas producers summarizing natural gas sales in excess of two billion cubic feet per year under rate schedules filed with the FPC. (Filed in addition to Form 301-A). Originated by FPC Order No. 231, issued December 21, 1960.

Due: March 31, 1961 (for 1959). Thereafter, last day of the sixth-month period after end of the calendar or fiscal reporting year. Superseded by Form 108, January 1, 1977.

Form 314*

Application for Small Producer Certificate, one-time filing by small producers operating in the Permian Basin, for authority to sell natural gas in the interstate market at prices up to the applicable area ceiling rate

** Denotes discontinued report forms.*

without further certification from the Commission. (A small producer is an independent natural gas producer whose annual jurisdictional sales do not exceed 10 billion cubic feet). Originated by FPC Order No. 308, issued October 29, 1965. Superseded by Form 314-A, effective May 2, 1971.

Form 314-A

Application for Small Producer Exemption, one-time filing by small producers for a blanket certificate exempting all sales of natural gas under existing and future contracts from further certification from the Commission. (A small producer is an independent natural gas producer whose annual jurisdictional sales do not exceed 10 billion cubic feet). Originated by FPC Order No. 428, issued March 18, 1971. Supersedes Form 314.

Form 314-B*

Annual Statement, filed by independent natural gas producers holding small producer exemptions. The two-page filing includes total jurisdictional sales by area, volume, purchaser, and price. Originated by FPC Order 428-A, issued April 9, 1971.

Due: April 1. Suspended by FERC order issued May 5, 1980. Discontinued by FERC Order No. 196, issued December 7, 1981.

Form 344*

Reserves Dedication Report, two-page report of new gas reserves filed by a purchaser of natural gas upon request of the producer-seller to implement the refund credit and rate escalation provisions of various FPC area rate opinions and orders. Originated by FPC Order No. 459, issued November 10, 1972.

Discontinued by FERC Order No. 285, issued March 4, 1983.

** Denotes discontinued report forms.*

Oil Pipeline Reports

Form 6

Annual Report of Oil Pipeline Companies, 80-page report filed by about 140 common carriers, contains general corporate information including income, operating expenses, and property data. Originated by FERC Order No. 260, issued September 21, 1982. Supersedes Form P.

Due: March 31 (First report - 1982).

Form 42*

Application for Annual or Basic Valuation is a report filed at the discretion of oil pipelines or by Commission request for purposes of determining the value of a pipeline's property for use in establishing a rate base. About 50 oil pipelines each year file Form 42.

Discontinued because of Commission Decision in Williams Pipeline Case, June 28, 1986.

Form 73

Service Life Data, one-page report filed by about 140 common carriers. Supersedes Form ACV 159.

** Denotes discontinued report forms.*

ICC Report Forms

The following report forms were transferred from the Interstate Commerce Commission to the FERC on October 1, 1977, by the Department of Energy Organization Act:

Form P* Annual Report of Oil Pipeline Companies, 100-page report filed by about 140 common carriers, contains general corporate informa tion including income, operating expenses, and property data.

Due: March 31 (reports filed 1968 through 1981); Superseded by Form 6.

Form QPS*

Quarterly Report of Pipeline Companies, filed by common carriers with annual revenues in excess of $500,000 for three consecutive years prior to the reporting period. One-page report includes transpor tation revenues and total number of barrels of crude oil and petroleum products origi nating from a company's own lines and received from carriers.

Due: 30 days after end of calendar quarter (First report filed Third Quarter 1938). Discontinued by FERC Order No. 222, issued April 6, 1982.

** Denotes discontinued report forms.*

Form ACV 1*

Statement of Property Changes Other than Land and Rights-of-Way.

Form ACV 2*

Statement of Land and Rights-of-Way Property Changes.

Form ACV 3*

Summary of Changes in Original Cost and Total Original Cost at Close of Period.

Form ACV 4*

Summary of Cost of Reproduction-New and Cost of Reproduction of New Less Depreciation.

A series of oil pipeline forms filed annually to determine a valuation rate base for the regulation of common carrier oil pipeline companies. Data collected by ICC since 1967. Redesignated by FERC Order No. 119, issued January 28, 1981. Supersedes Forms ICC-ACV-1 through ACV-4.

Due: September 30.

Form ACV 162*

Application for Annual or Basic Valuation, one-page form filed by about 100 common carriers. Superseded by Form 42 in July 1978.

* *Denotes discontinued report forms.*

Initial Pipeline Valuation Reports

Form ACV 5*	Inventory of Property Other than Land and Rights-of-Way.
Form ACV 6*	Inventory of Land and Rights-of-Way.
Form ACV 7*	Summary of Original Cost Inventory.
Form ACV 8*	Cost Data for Equipment and Tanks.
Form ACV 9*	Cost Data for Pipeline Construction.

ACV Forms 5 through 9 are used by the Commission to establish the initial valuation of actual value of a common carrier to determine its margin of profit for ratemaking purposes. Forms 5, 6 and 7 are filed one time only for the initial valuation of a carrier. Forms 8 and 9 are filed annually on February 1.

** Denotes discontinued report forms.*

REGIONAL OFFICES

The Commission's Office of Hydropower Licensing maintains five regional offices throughout the United States. These offices provide assistance and answer public inquiries either directly or by referral to the Headquarters in Washington, D.C. concerning the Commission's functions and activities.

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